

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office on  
~~February 18, 2004.~~

19

Sean Brennan

Sean Brennan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

A. Wolffe *et al.*

Application No.: 09/844,508

Filed: April 27, 2001

For: TARGETED MODIFICATION OF  
CHROMATIN STRUCTURE

Examiner: R. Akharan

Group Art Unit: 1636

Confirmation No.: 9058

TRANSMITTAL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find the following:

1. Form PTOL-413A (Applicant Initiated Interview Request Form): 1 page

Pursuant to MPEP 713.01, an Applicant Initiated Interview Request Form is enclosed. No fee is believed to be due.

Respectfully submitted,

19  
Date: February 18, 2004

By: Sean Brennan

Sean M. Brennan  
Registration No. 39,917

Sangamo BioSciences, Inc.  
501 Canal Blvd., Suite A100  
Richmond, California 94804  
Telephone: (510) 970-6000 ext. 252  
Facsimile: (510) 236-8951

<b>Interview Summary</b>	Application No.	Applicant(s)
	09/844,508	WOLFFE ET AL.
	Examiner Ramin (Ray) Akhavan	Art Unit 1636

All participants (applicant, applicant's representative, PTO personnel):

- (1) Ray Akhavan. (3) Sean Brennen.  
 (2) Gerry Leffers Jr (Primary Examiner). (4) \_\_\_\_\_.

Date of Interview: February 19, 2004.

Type: a) Telephonic b) Video Conference  
 c) Personal [copy given to: 1) applicant 2) applicant's representative]

Exhibit shown or demonstration conducted: d) Yes e) No.  
 If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: None

Identification of prior art discussed: None

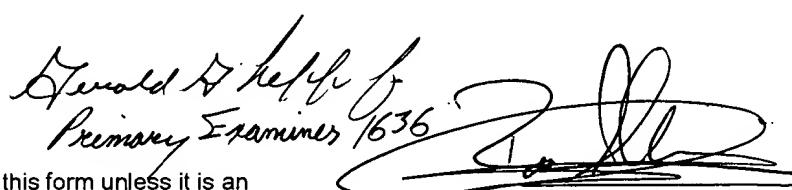
Agreement with respect to the claims f) was reached. g) was not reached. h) N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See below.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

*Discussed 112 1st and ODP issues; rejections could be made and rejections of record. No agreements reached*



*Gerald R. Leffers Jr.  
Primary Examiner 1636*

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required

**Applicant Initiated Interview Request Form**

Application No.: 09/844,508 First Named Applicant: Wolffe  
 Examiner: R. Akhavan Art Unit: 1636 Status of Application: RCE

**Tentative Participants:**

(1) R. Akhavan (2) Sean Brennan (Applicants' rep.)  
 (3) Gerald G. Leffers Jr. (4) \_\_\_\_\_

Proposed Date of Interview: 2-19-04 Proposed Time: 11 AM (AM/PM)

**Type of Interview Requested:**

(1)  Telephonic (2)  Personal (3)  Video Conference

Exhibit To Be Shown or Demonstrated:  YES  NO

If yes, provide brief description: \_\_\_\_\_

**Issues To Be Discussed**

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Double patenting</u>	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>Written description</u>	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) <u>Clarity</u>	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Continuation Sheet Attached

**Brief Description of Arguments to be Presented:**

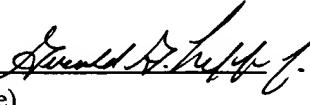
Applicants' representative will discuss evidence for non-obviousness of dNMT-mediated chromatin remodeling over dNMT-mediated transcriptional regulation; and present evidence for adequate description and clarity

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:**

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

*Reg. No.*  
Sean Brennan 39,917    
 (Applicant/Applicant's Representative Signature) (Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.